## Assessing the Foundations of Conscious Computing: A Bayesian Exercise

Eric Horvitz

June 2001

Questions have long been posed with about whether we might one day be able to create systems that *experience* subjective states similar to those experienced by people. The challenge of understanding and explaining conscious experience extends well beyond discussions among philosophers. Many people, including AI scientists pursuing principles of automated reasoning and decision making, and neurobiologists and psychologists with interests in nervous systems and cognition reflect about the nature of subjective states.

Given the absence of scientific methods for probing the principles and machinery of such conscious experience, beliefs about the nature and requirements of feeling and experiencing are diverse. When topics touching on *consciousness, awareness, subjectivity, feeling* and *qualia* arise in conversation, people are faced with a wide spectrum of beliefs about the foundations of subjective experience, and on related beliefs about the feasibility of one day developing computational algorithms and architectures that could achieve what we might call *conscious computing*. Such a diversity in beliefs appears extends to scientists with expertise in cognition, including decision scientists, cognitive psychology, neurobiology, and artificial intelligence.

To promote discussion, I've found it useful to assess beliefs about alternate bases for conscious experience. Rather than seek commitment to a single hypothesis, I find it valuable to take a Bayesian perspective on assessing beliefs under uncertainty. With such an approach, we layout a broad set of distinct possibilities about the basis for conscious experience, and then assess beliefs that individuals hold about the likelihood of each hypothesis being true. Such an assessment can be useful for revealing common patterns of belief and for focusing discussion.

The assessment of an explicit set of likelihoods across a space of possibilities that provide conceptual coverage at a broad level of abstraction supports the admission of multiple possibilities, albeit with different levels of belief, and helps to frame questions about the kinds of experiments, results, models, and observations that might be useful for updating such beliefs.

So, let's layout a set of broad possibilities, attempting to define a mutually exclusive set of possibilities. We'll work at a high-level of abstraction and talk about broad categories that could house more detailed hypotheses as further refinements. We'll also force the set of possibilities to be exhaustive by considering an explicit *Other* category, containing all possible explanations that don't fit into one of the other broad hypotheses.

For each hypotheses, including *Other*, I ask interested people to assess the probability that the correct explanation for conscious experience lays within that hypotheses. This assessed probability represents the individual's belief about the basis for consciousness, expressed as a likelihood of the ultimate truth of the category. One way to view such probability assessments is to imagine that a clairvoyant who knows the actual truth will soon reveal the nature of consciousness. The likelihoods represent the probabilities of each hypothesis being the one pointed out as being the right explanation by the clairvoyant.

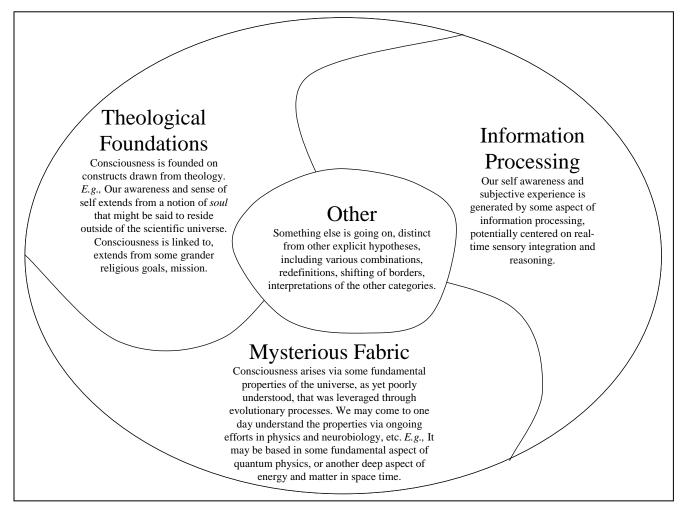


Figure 1. Formulation of a space of hypotheses about subjective experience. After seeking agreement on the essence of each hypothesis, we can assess beliefs, in terms of the differing likelihoods of a mutually exclusive and exhaustive set of hypotheses.

Let us now explore a set of candidate hypotheses. Figure 1 captures a potential space of possible explanations for conscious experience. These definitions are meant to conjure up a "conceptual centroid," targeting the essence of each explanation class. It's clear that there is some potential difference of interpretation of the hypotheses, and so, to be more

precise, people should have the opportunity during communications about beliefs to refine and personalize the definitions of the hypotheses. Let's review each hypothesis.

**Theological Foundations** includes the set of explanations that view conscious entities as having foundations in the spiritual world, outside of or in distinction to the mechanistic, scientific universe studied by physicists, chemists, and biologists. These explanations implicitly or explicitly pose conscious experience as arising as an essential property of souls or other experiencing entities founded on what one might refer to as classical theological or spiritual constructs, as captured, for example, by the notions of individuals in Buddhist, Christian, Islamic, Hindu, Jewish, and other organized religions.

**Information Processing** covers the set of hypotheses that consider subjective experience as being generated by some aspect of information processing. As an example, the hypothesis includes the assertion that subjective experience is created by, or associated with, patterns of coordinated information processing that support the real-time capabilities for sensory integration, reasoning, and action demonstrated by the human nervous system. People with strong beliefs about the *Information Processing* hypothesis tend to believe that conscious experience is based on some form of algorithmic activity, whether that form of algorithmic activity is performed by cell-based nervous activity, a set of silicon memory units and gates, a universal Turing machine, or a contraption built from Styrofoam balls, wood sticks, and rubber bands.

**Mysterious Fabric** spans the set of hypotheses that explain consciousness as hinging critically on some as yet poorly understand property or properties of the physical universe that was discovered and leveraged through evolutionary processes. The essential nature of the property and its role in subjective experience may one day be revealed via ongoing efforts in physics and neurobiology. Such a property may be metaphorically or in reality related to some fundamental aspect of quantum physics, or another property of energy, matter in space time.

**Other** refers to the set of all explanations that are not captured by the explicitly defined categories. That is, we ask people to assess the likelihood that "something else is going on," significantly distinct from the other, explicit categories. *Other* includes explanations that fall outside of the explicit hypotheses, include explanations that represent reformulations, extensions, or combinations of the definitions of the explicit categories—given personal interpretations of the definitions of the explicit hypotheses.

Notice that we can refine the hypotheses space, by breaking out as distinct hypotheses, more precise, finer-grained explanations. For example, as portrayed in Figure 2, we refine *Information Processing* into *IP-Competency* and *IP-Leap*.

## **IP** Competency Searching for a special word or concept like consciousness is chasing an illusory "distinct" notion. Our self awareness and subjective experience is simply the way creatures with our Theological abilities necessarily have to feel. That is, what we call consciousness is unavoidable as real-time **Foundations** sensory integration and information processing become increasingly competent. Consciousness is founded on constructs drawn from theology. E.g., Our awareness and sense of self extends from a notion of soul Other that might be said to reside IP Leap outside of the scientific universe. Something else is going on, distinct Consciousness is linked to, Consciousness is generated by, or is from other explicit hypotheses, extends from some grander including various combinations, aa emergent correlate of on an religious goals, mission. redefinitions, shifting of borders, interesting, specific innovation or set interpretations of the other categories. of innovations with information processing. When revealed, we would likely find the principles and machinery interesting and surprising as distinct, novel approach to Mysterious Fabric information processing. Consciousness arises via some fundamental properties of the universe, as yet poorly understood, that was leveraged through evolutionary processes. We may come to one day understand the properties via ongoing efforts in physics and neurobiology, etc. E.g., It may be based in some fundamental aspect of quantum physics, or another deep aspect of energy and matter in space time.

Figure 2. Refinement of the space of hypotheses. In this case, we refine *Information Processing* into the *IP Leap* and *IP Competency* classes

**IP** Competency is a refinement of *Information Processing* that explains conscious experience as being based in information processing, and asserts that such subjective states are an unavoidable aspect of increasing cognitive competency. That is, what we call *consciousness* is unavoidable as real-time sensory integration and information processing become increasingly competent. From the point of view of *IP Competency*, searching for a special word or concept like *consciousness* is chasing an illusory "distinct" notion. Our self awareness and subjective experience is simply the way entities with our abilities necessarily must feel. Should an explanation in the *IP Competency* category be true, there would be nothing explicit to discover about conscious experience with the increasing competency of reasoning systems

**IP** Leap is a refinement of *Information Processing* that asserts that subjective experience is based on special, potentially interesting and surprising information processing.

IP Leap explanations assert that conscious experience is the result of some interesting novel information-processing analysis, coordination, integration, architecture, etc., that endows nervous systems with valuable capabilities that would not necessarily be obtainable or obtainable with the same overall architecture or resource limitations without such special processing or machinery. IP-Leap explanations suggest that there are some discoverable analytical and/or architectural aspects of cognitive systems that would enhance our insights about understanding and potentially synthesizing systems capable of supporting conscious experiences.

In performing assessment, we can identify different patterns of belief among the population. Figures 3 through 5 capture several classes of belief about the foundations for subjective experience.

Figure 3 captures the beliefs of an individual who finds consciousness mysterious, with an assessment that the explanation is likely founded on some as yet poorly understood property of the universe. I have found that many people with strong beliefs that consciousness likely is linked to some, as yet poorly understood, essential property of the physical universe, often cite an explanation that has "something to do with quantum physics." In this case, a person asserts that the next most likely hypothesis is *Other*, or something outside of the explicit hypotheses, followed by *IP Leap* hypothesis, and then *IP Competency*, followed last by *Theology*.

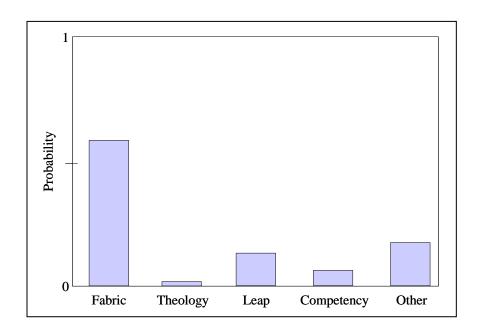


Figure 3. A pattern of belief with weight on the *Mysterious Fabric* hypothesis.

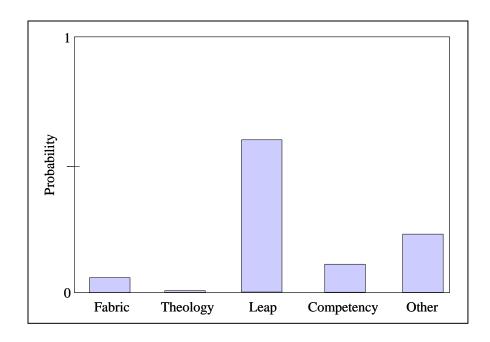


Figure 4. A pattern of belief with weight on the *Information-Processing* hypotheses, with a leaning toward *IP Leap*.

Figure 4 captures a pattern of beliefs leaning towards the conscious experience as a very special kind of information processing, followed by some probability that something else is going on, with an even less likelihood that the subjective world is an inescapable aspect of the intellectual competency associated with human (and potentially other) nervous systems. Although this person gives some probability to the *Mysterious Fabric* hypothesis, only a small probability is assigned to theological hypotheses.

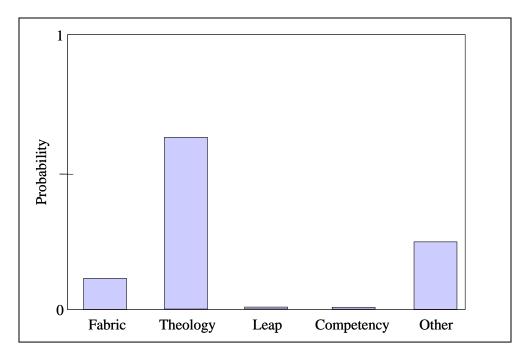


Figure 5. A pattern of belief with weight on the Information-Processing hypotheses, with a leaning toward *Information Processing Leap*.

Figure 5 represents beliefs of a person with fairly strong beliefs that subjective experience is founded in theology. Although, the person has assigned overwhelming belief to the *Theological Foundations* hypothesis, significant belief is also assigned to the *Mysterious Fabric* hypothesis, and to the *Other* category. This person largely rejects the *Information Processing* hypotheses.

Some readers may find that the categories of explanation do not cover the space of possibilities in a satisfying way. They may wish to modify the categories presented and recommend reformulating the categories or introducing new candidate explanations. Working to define a revised set of mutually exclusive and exhaustive categories can be a useful exercise.

What are your own beliefs about the foundations of our conscious experience? How would you apportion probabilities that each of the distinct explanations mentioned above (or a set of explanations of your own) above is the correct one? Another way to look at this assessment is to imagine that you encounter an omniscient clairvoyant who knows the truth about consciousness. For each category of explanation under consideration, assess the probability that the clairvoyant will tell you that the category is the correct explanation.

## Addendum

The essay above was formulated and placed on the Web in the summer of 2001, along with a link to a public online survey that remained active for several years. The original impetus for the essay and survey was the advent of a burst of interest in artificial intelligence that came with the release of the movie "Artificial Intelligence" by Steven Spielberg in 2001. In advance of the movie's debut, we reflected about the value of stimulating discussion more broadly and engaging the public about the feasibility of oneday building computing systems that would have human-like consciousness—and for stimulating reflections about the nature of our own conscious experiences. We decided to publish the short essay (above) and to provide a tool for probability assessment. A screenshot of the tool we had hosted on the web is displayed below. The online tool allowed people to move sliders or type probabilities in the form of percentages to assess the true explanation, and to enter their beliefs into our growing database of beliefs. The tool provided an "adjust to fit" button that allowed probabilities to be scaled so as to sum to 100%. We also asked participants to optionally include information about their formal educational backgrounds and to leave additional comments about the essay or assessment. Although we are no longer hosting the survey, you may wish to use the worksheet below to assess your personal beliefs about the likelihoods of different explanations.

🙀 🏟 Survey: Foundations of Conscious Computing 💮 🐧 ▼ 🖑 🔝 ▼ 🕞 Page ▼ 🔘 Tools ▼			
Foundations of Conscious Computing			
Please take a few moments to share your beliefs about different potential explanations for conscious experience. After reading through the description of the different hypotheses in this overview, please assign a percentage value to each hypothesis, representing the likelihood that the true explanation for subjective experience is based essentially in that hypothesis. The probabilities should sum to 100.			
Use the sliders or just directly enter the numbers (including small numbers in decimal form). You may find it useful to use the "Adjust to fit" button to adjust the belief in any hypothesis so as to reach a total of 100 percent.	Е		
1. Mysterious Fabric  0.1 % Adjust to fit			
2. IP Competency  9 % • Adjust to fit			
3. IP Leap  90			
4. Theological Foundations			
0.00001 % ◀ Adjust to fit			
5. Other  0.899989			
Percentage Total 100 %			
Additional comments: (optional)  Please feel free to add any additional comments about any of the hypotheses, about additional			

Screen shot of online assessment too that was hosted with essay.

## Worksheet

xplanations	<u>Probabilities</u>	
Information Processing – Competency		
nformation Processing – Leap		
Theological Foundations		
Mysterious Fabric		
Other		
Total:	100%	